

Bosonization of a Finite Number of Non-Relativistic Fermions and Applications

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Introduction and Motivation

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 - Bohm and Pines - charge density waves - plasma oscillations - in a gas of electrons.

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 - **Bloch** - earliest observation for the existence of quantized collective bose excitations - **sound waves** - in a gas of fermions in 3-dimensions
 - **Bohm and Pines** - charge density waves - **plasma oscillations** - in a gas of electrons.
 - **Tomonaga** - first important breakthrough in treating a large system of interacting fermions. In a rigorously defined simple one-dimensional model, he showed that interactions between fermions can mediate **new collective bosonic d.o.f**

Introduction and Motivation

- Non-relativistic fermions have a quadratic dispersion relation - Tomonaga's treatment is valid only in the low-energy approximation
- **Luttinger** later used a strictly linear dispersion relation. Other work - **Mattis** and **Lieb**, **Haldane**, => relativistic bosonization due to **Coleman** and **Mandlestam**
- **Tomonaga-Luttinger liquid** provides an important paradigm in condensed matter physics.

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This is closely related to Tomonaga's problem

Introduction and Motivation

- A common feature of all these examples is that the fermionic system arises from an underlying matrix quantum mechanics problem

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M is an $N \times N$ matrix

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$$S = \int dt \left\{ \frac{1}{2} \dot{M}^2 - V(M) \right\}$$

- In the $U(N)$ invariant sector, the matrix model is equivalent to a system of N non-relativistic fermions ^a
- Jevicki and Sakita ^b used this equivalence to develop a bosonization in the large- N limit - **collective field theory**

^aBrezin, Itzykson, Parisi and Zuber, Comm. Math. Phys.59, 35, 1978

^bNucl.Phys.B165, 511, 1980

Introduction and Motivation

- Bosonization in terms of Wigner phase space density ^a

$$u(p, q, t) = \int dx e^{-ipx} \sum_{i=1}^N \psi_i^\dagger(q - x/2, t) \psi_i(q + x/2, t)$$

- $u(p, q, t)$ satisfies two constraints:

- $\int \frac{dpdq}{2\pi} u(p, q, t) = N$

- $u * u = u$

^aDhar, Mandal and Wadia, hep-th/9204028; 9207011; 9309028

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Many more variables than are necessary

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Exact Bosonization

The Setup:

- each can occupy a state in an infinite-dimensional Hilbert space \mathcal{H}_f
- there is a countable basis of $\mathcal{H}_f : \{|m\rangle, m = 0, 1, \dots, \infty\}$
- creation and annihilation operators ψ_m^\dagger, ψ_m create and destroy particles in the state $|m\rangle$, $\{\psi_m, \psi_n^\dagger\} = \delta_{mn}$
- total number of fermions is fixed:

$$\sum_n \psi_n^\dagger \psi_n = N$$

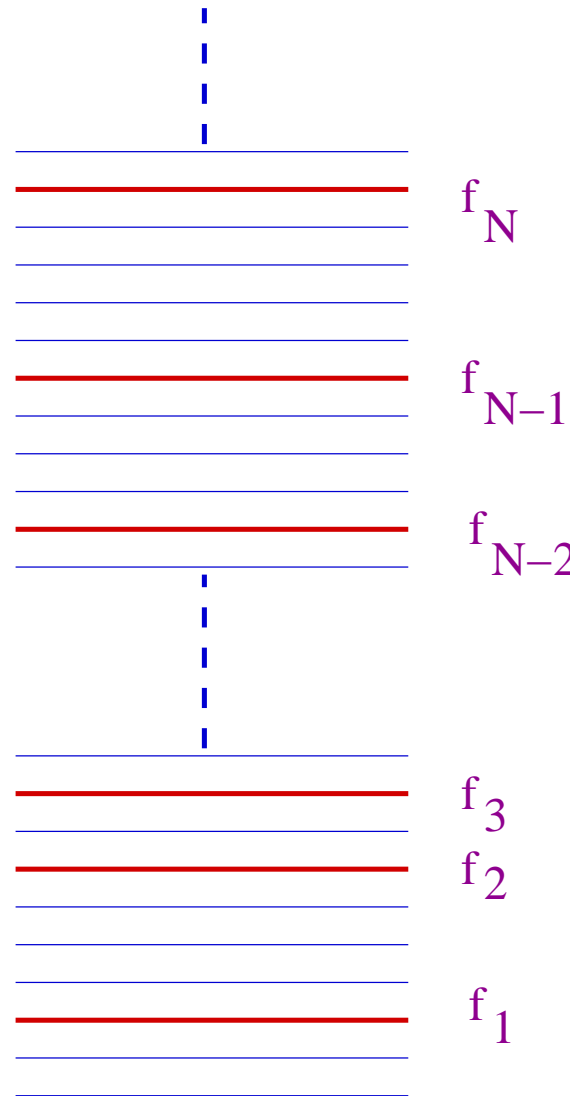
Exact Bosonization

- The N -fermion states are given by (linear combinations of)

$$|f_1, \dots, f_N\rangle = \psi_{f_N}^\dagger \cdots \psi_{f_2}^\dagger \psi_{f_1}^\dagger |0\rangle_F,$$

- $|0\rangle_F$ is Fock vacuum
- f_k are ordered $0 \leq f_1 < f_2 < \cdots < f_N$
- Repeated applications of the bilinear $\psi_m^\dagger \psi_n$ gives any desired state

Exact Bosonization



Exact Bosonization

Bosonization: ^a

- Introduce the bosonic operators

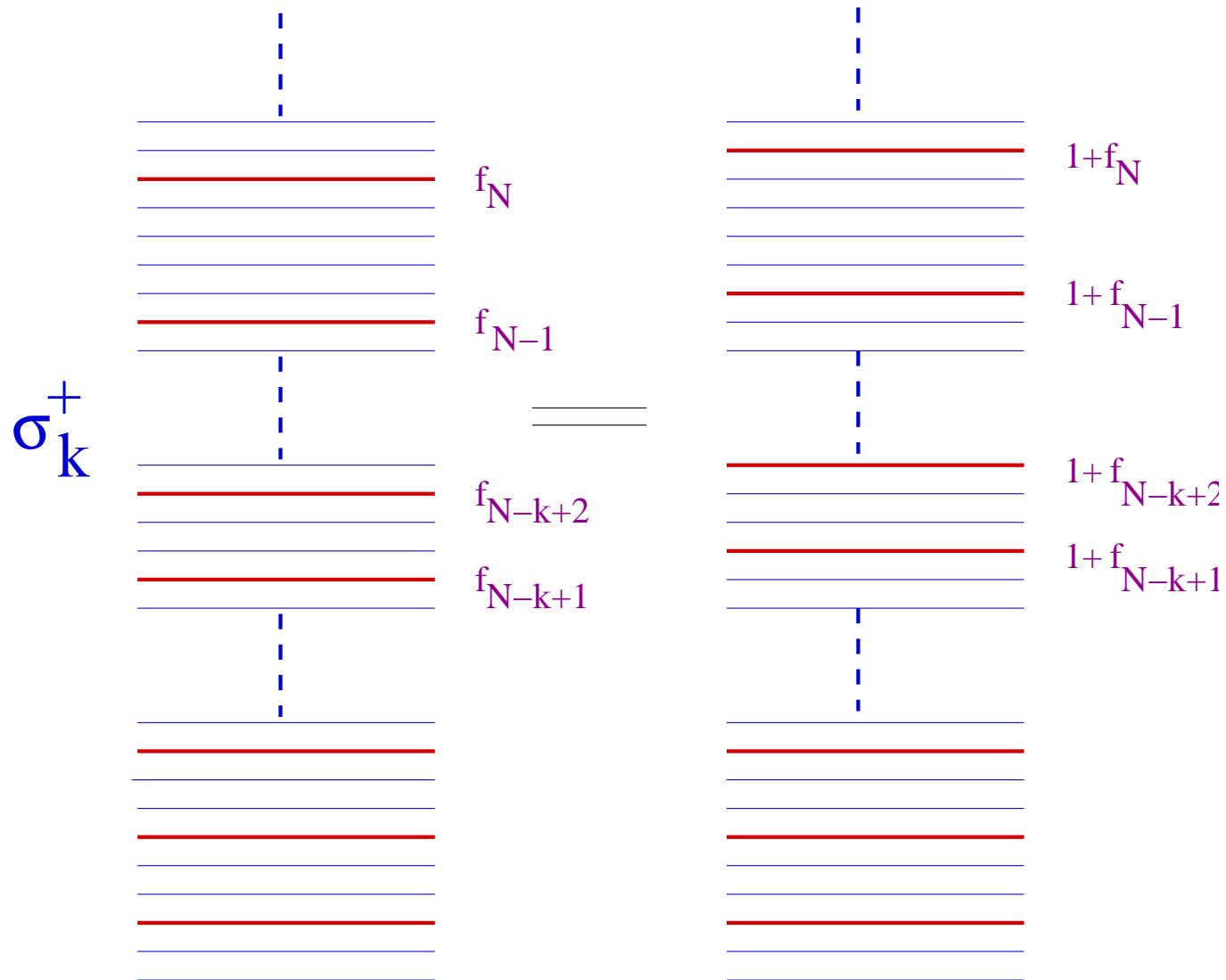
$$\sigma_k, \quad k = 1, 2, \dots, N$$

- and their conjugates

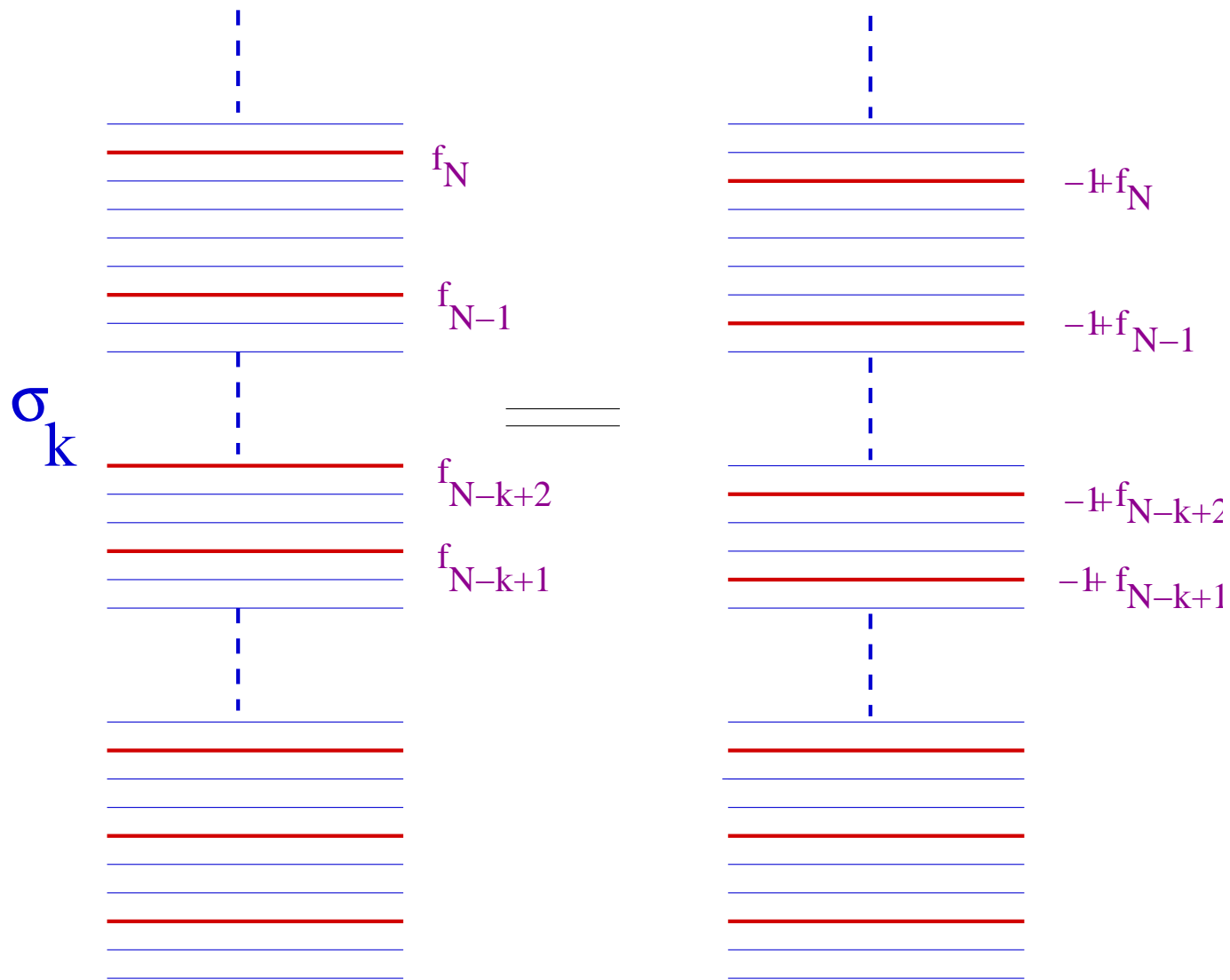
$$\sigma_k^\dagger, \quad k = 1, 2, \dots, N$$

^aDhar, Mandal and Suryanarayana, hep-th/0509164

Exact Bosonization



Exact Bosonization



Exact Bosonization

● By definition:

● $\sigma_k \sigma_k^\dagger = 1$

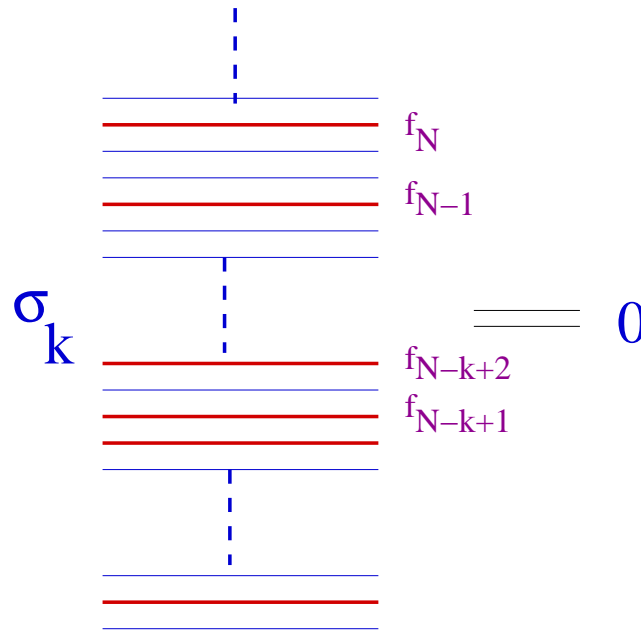
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- $\sigma_k^\dagger \sigma_k = 1$, if σ_k does not annihilate the state

- For $k \neq l$, $[\sigma_k, \sigma_l^\dagger] = 0$

Exact Bosonization

- Introduce creation (annihilation) operators a_k^\dagger (a_k) which satisfy the standard commutation relations

$$[a_k, a_l^\dagger] = \delta_{kl}, \quad k, l = 1, \dots, N$$

- The states of the bosonic system are given by (a linear combination of)

$$|r_1, \dots, r_N\rangle = \frac{(a_1^\dagger)^{r_1} \dots (a_N^\dagger)^{r_N}}{\sqrt{r_1! \dots r_N!}} |0\rangle$$

Exact Bosonization

- Now, make the following identifications

$$\sigma_k = \frac{1}{\sqrt{a_k^\dagger a_k + 1}} a_k; \quad \sigma_k^\dagger = a_k^\dagger \frac{1}{\sqrt{a_k^\dagger a_k + 1}}$$

- together with the map ^a

$$r_N = f_1; \quad r_k = f_{N-k+1} - f_{N-k} - 1, \quad k = 1, 2, \dots, N-1$$

- For the Fermi vacuum, $f_{k+1} = f_k + 1$ and so $r_k = 0$ for all $k \Rightarrow$ Fermi vacuum = Bose vacuum

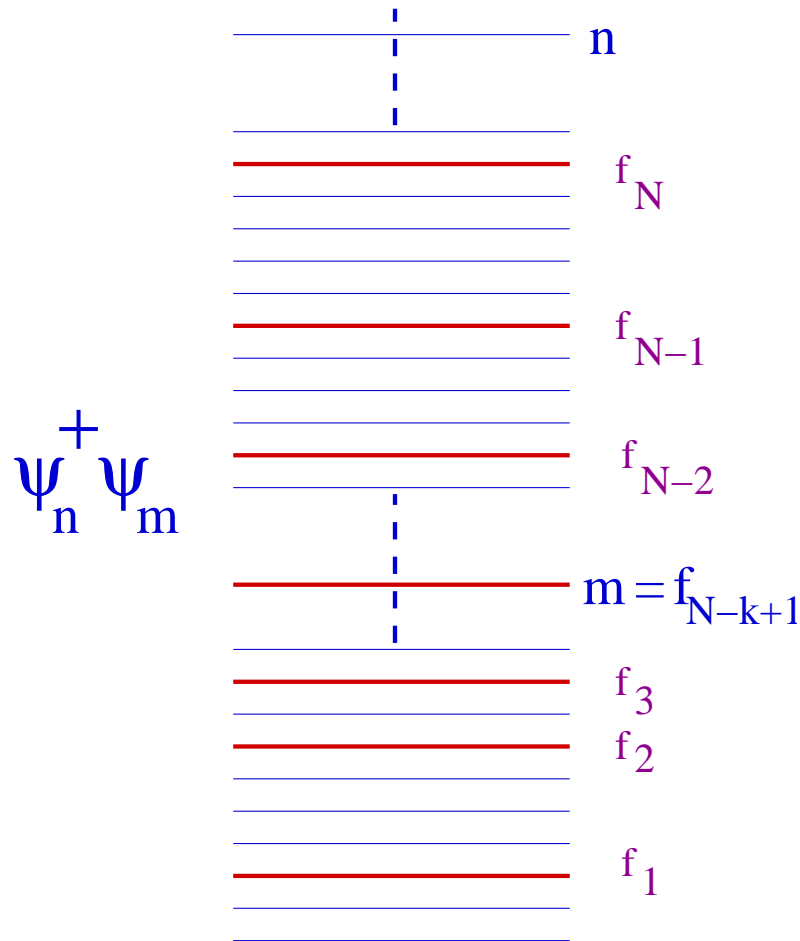
^aSuryanarayana, hep-th/0411145

Exact Bosonization

- The σ_k , $k = 1, 2, \dots, N$ are necessary and sufficient
 - Any bilinear $\psi_n^\dagger \psi_m$ can be built out of σ_k 's

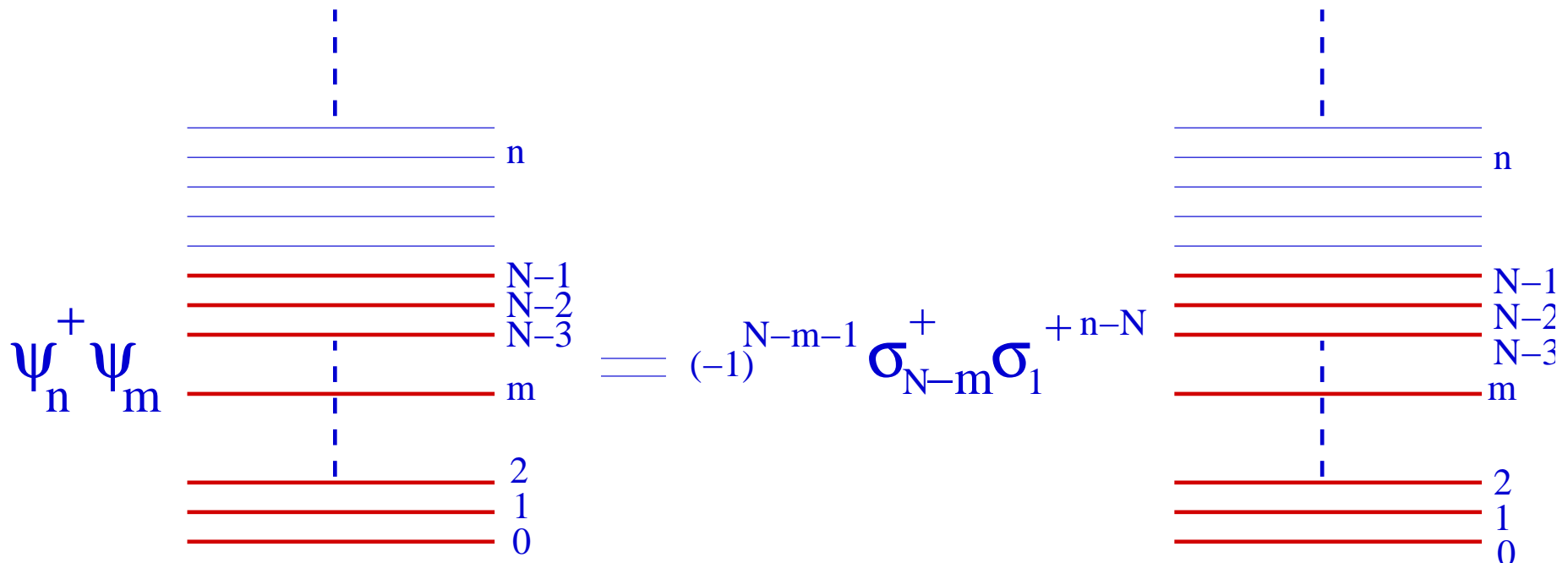
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Exact Bosonization

Generic properties of the bosonized theory:

- Each boson can occupy only a **finite** number of different states, as a consequence of a finite number of fermions
=> a cut-off or **graininess** in the bosonized theory!

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- There is **no** natural “**space**” in the bosonic theory - in the examples we will discuss, a spatial direction will **emerge** in the low-energy large- N limit.
- In applications involving matrix quantum mechanics, our bosonization can be considered to be an **exact solution of the matrix problem in the singlet sector**

Exact Bosonization

- The non-interacting fermionic Hamiltonian:

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- The non-interacting **fermionic** Hamiltonian:

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- What about fermion **interactions**? These can also be included since the generic bilinear $\psi_n^\dagger \psi_m$ has a bosonized expression

Half-BPS states and LLM geometries

- Space-time, gravity lagrangians and gravitons - low-energy **emergent** properties of an underlying microscopic dynamics
- String theory is a consistent theory of quantum gravity => we should be able to test these ideas
- AdS/CFT correspondence => a precise setting in which to explore these ideas

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- String theory is a consistent theory of quantum gravity => we should be able to test these ideas
- AdS/CFT correspondence => a precise setting in which to explore these ideas
 - Classic example of dual pair - $\mathcal{N} = 4$ **SYM** and string theory on **AdS₅ × S⁵**. No hint of 10-d space-time or gravitons in the SYM theory!
 - This duality => weakly coupled **low-energy type IIB gravity on AdS₅ × S⁵** and **strongly coupled $\mathcal{N} = 4$ SYM theory in the large-N limit** have exactly the same physical content

Half-BPS states and LLM geometries

- LLM work ^a - a small new window of opportunity.
- Limited to half-BPS sector, but hopefully has some wider lessons
 - SYM - half-BPS states are described by a **holomorphic sector** of quantum mechanics of an $N \times N$ **complex** matrix Z in a harmonic potential
 - This system can be shown ^b to be equivalent to the quantum mechanics of an $N \times N$ **hermitian** matrix Z in a harmonic potential

^aLin, Lunin and Maldacena, hep-th/0409174

^bTakayama and Tsuchiya, hep-th/0507070

Half-BPS states and LLM geometries

- Gauge invariance \Rightarrow physical observables on boundary are $U(N)$ -invariant traces:

$$\text{tr} Z^k, \quad k = 1, 2, \dots, N$$

- Physical states \Leftrightarrow operators

$$(\text{tr} Z^{k_1})^{l_1} (\text{tr} Z^{k_2})^{l_2} \dots$$

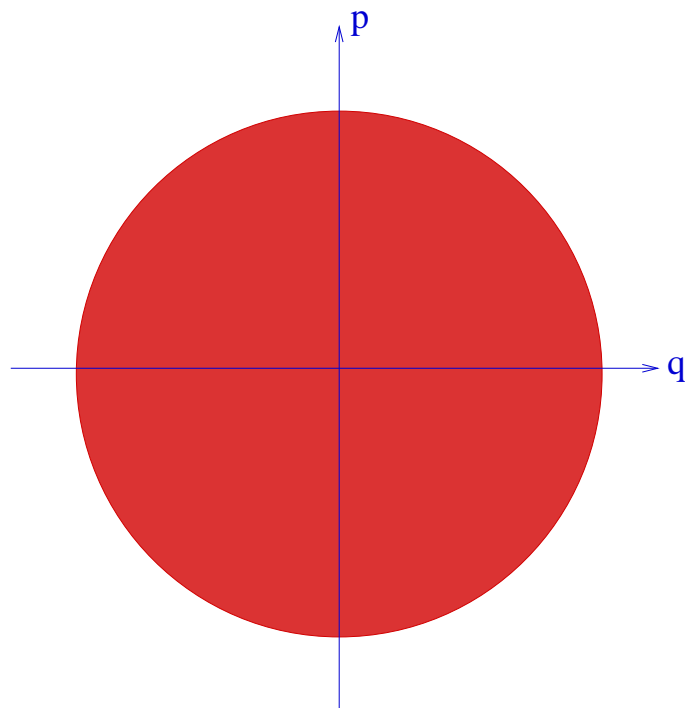
- Total number of Z 's is a conserved RR charge
 $Q = \sum_i k_i l_i$. BPS condition $\Rightarrow E = Q$

Half-BPS states and LLM geometries

- At large N there is a semiclassical picture of the states of this system in terms of droplets of fermi fluid in phase space

Half-BPS states and LLM geometries

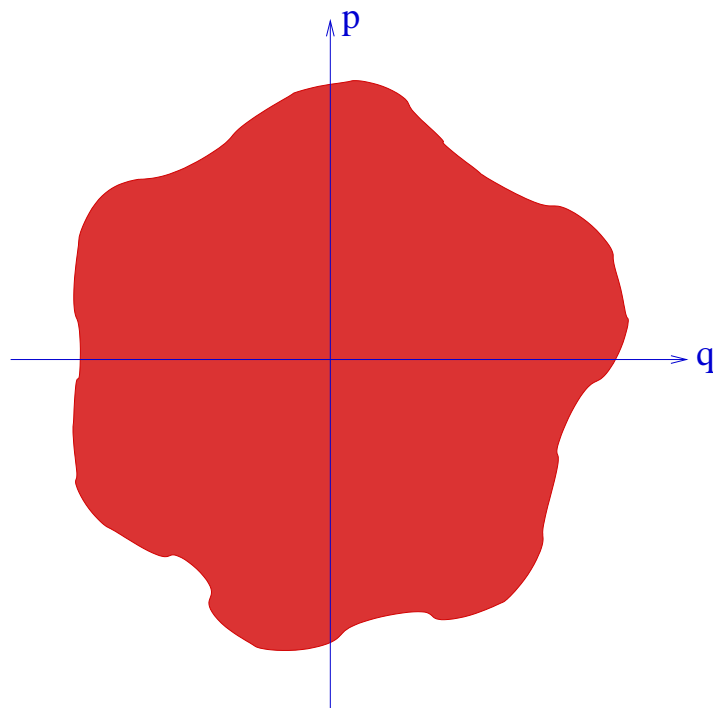
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ground state distribution

Half-BPS states and LLM geometries

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small fluctuations around the ground state

Half-BPS states and LLM geometries

- By explicitly solving equations of type IIB gravity, LLM showed that **there is a similar structure in the classical geometries** in the half-BPS sector!
- LLM solutions - two of the space coordinates are identified with the **phase space** of a single fermion => **noncommutativity in two space directions** in the semiclassical description ^a
- Small fluctuations around AdS space, i.e low-energy graviton excitations ^{b c} \equiv low-energy fluctuations of the fermi vacuum ^d

^aMandal, hep-th/0502104

^bGrant, Maoz, Marsano, Papadodimas and Rychkov, hep-th/0505079

^cMaoz and Rychkov, hep-th/0508059

^dDhar, hep-th/0505084

Half-BPS states and LLM geometries

- Motivation for our work ^a - on the CFT side the half-BPS system can be quantized exactly in terms of our bosons => window of opportunity to learn about aspects of quantum gravity.
- At finite N , only the low-energy excitations on the boundary can be identified with low-energy ($\ll N$) gravitons in the bulk
- The single-particle graviton excitations are related to our bosons. On the boundary, these states are:

$$\beta_m^\dagger |0\rangle = \sum_{n=1}^m (-1)^{n-1} \sqrt{\frac{(N+m-n)!}{2^m (N-n)!}} \sigma_1^{\dagger m-n} \sigma_n^\dagger |0\rangle$$

^aDhar, Mandal and Smedback, hep-th/0512312

Half-BPS states and LLM geometries

- One can compute **exactly** the correlation functions

$$\langle \beta_{k_1}^\dagger \beta_{k_2}^\dagger \cdots \rangle$$

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- perturbation theory breaks down for β 's with energy of order \sqrt{N}
- At energies of order N , the β **interactions grow exponentially** with N

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- nonlocal solitonic excitations with energy of order N
- **giant gravitons**^a

^aMcGreevy, Susskind and Toumbas, hep-th/0003075

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- nonlocal solitonic excitations with energy of order N
- **giant gravitons**^a
- The size of giant gravitons is larger than 10-dim planck scale for energies larger than \sqrt{N}

^aMcGreevy, Susskind and Toumbas, hep-th/0003075

Half-BPS states and LLM geometries

- On the boundary, single-particle giant graviton states map to linear combinations of multi-graviton states ^a.
Example:

$$|\text{giant graviton of energy } 2\rangle = (\beta_1^\dagger{}^2 - \beta_2^\dagger)|0\rangle$$

^aBalasubramanian, Berkooz, Naqvi and Strassler, hep-th/0107119

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- Boundary states corresponding to single-particle bulk giant states are our single-particle bosonic states:

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- Discrete space?

$$\phi(\theta_n) = \sum_{k=1}^N (e^{ik\theta_n} a_k + e^{-ik\theta_n} a_k^\dagger), \quad \theta_n = \frac{2\pi n}{N}$$

Half-BPS states and LLM geometries

Summary (half-BPS sector):

- low-energy fluctuations of the metric around AdS are adequately described by gravitons
- at moderately high energies of order \sqrt{N} , perturbative gravity breaks down; one must now sum to all orders in $1/N$ to get correct answers
- at very high energies of order N , gravitons cease to provide a meaningful description; instead we must now use a new set of d.o.f., namely the giant gravitons, which are weakly coupled at high energies

Free fermions on a circle

- We will mainly discuss ^a the free fermion problem. Interactions can be taken into account once the free part has been dealt with properly.
- The free hamiltonian:

$$H = -\frac{\hbar^2}{2m} \int_0^L dx \chi^\dagger(x) \partial_x^2 \chi(x)$$

^aDhar and Mandal, hep-th/0603154

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- Hamiltonian in terms of fourier modes:

$$H = \omega \hbar \sum_{n=-\infty}^{\infty} n^2 \chi_n^\dagger \chi_n, \quad \omega \equiv \frac{2\pi^2 \hbar}{mL^2}$$

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Free fermions on a circle

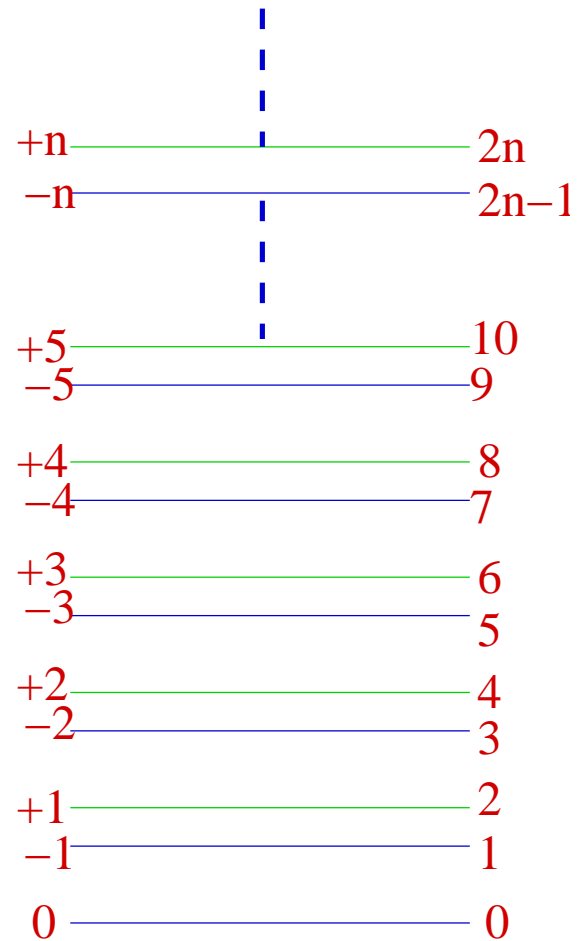
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- To apply our bosonization rules, need to introduce an ordering in the spectrum. For example, replace $n^2 \rightarrow (n + \epsilon)^2$

^aDhar and Mandal, hep-th/0603154

Free fermions on a circle



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- Bosonized hamiltonian:

$$H = \omega \hbar \sum_{k=1}^N \left(\frac{\hat{n}_k + e(\hat{n}_k)}{2} \right)^2$$

where $\hat{n}_k = \sum_{i=k}^N a_i^\dagger a_i + N - k$

Free fermions on a circle

- Large- N low energy limit: $H = H_F + H_0 + H_1$

Free fermions on a circle

- Large- N low energy limit: $H = H_F + H_0 + H_1$

$$H_0 = \frac{\hbar\omega N}{2} \left(\sum_{k=1}^N k a_k^\dagger a_k + \hat{\nu} \right)$$

- $\hat{\nu} = N_- - N_{-F} = \sum_{k=1}^N (e(\hat{n}_k) - e(N - k))$ is the number of excess fermions in negative momentum states over and above the number in fermi vacuum
- H_1 is order one on excited states whose energy is low compared to N

Free fermions on a circle

- The massless collective boson:

Free fermions on a circle

- The massless collective boson:
- The partition function

$$Z_N = \sum e^{-\beta H_0}$$

Free fermions on a circle

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$$Z_N = \sum e^{-\beta H_0}$$

- In the limit $N \rightarrow \infty$, the partition function turns out to be

$$Z_\infty = \sum_{\nu=-\infty}^{+\infty} q^{\nu^2} \left[\prod_{n=1}^{\infty} (1 - q^n)^{-1} \right]^2; \quad q = e^{-\hbar\omega N\beta}$$

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Free fermions on a circle

- **States ($\nu = 0$):**
 - states at level l have energy $E_{0l} = \hbar\omega Nl$ - these include multiparticle states of both chiralities
 - example, $l = 2$:

$$(\sigma_1^\dagger)^4|0\rangle, \sigma_1^\dagger\sigma_3^\dagger|0\rangle, (\sigma_1^\dagger)^2\sigma_2^\dagger|0\rangle, \sigma_4^\dagger|0\rangle, (\sigma_2^\dagger)^2|0\rangle$$

- first two have momentum of opposite sign to the next two; the last state has zero momentum
- first four states: two single-particle and two 2-particle states of each chirality; the last state is non-chiral 2-particle state

Free fermions on a circle

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- linear combinations exist in which expectation value of H_1 vanishes:

$$\frac{1}{\sqrt{2}} [(\sigma_1^\dagger)^4 \pm \sigma_1^\dagger \sigma_3^\dagger] |0\rangle; \quad \frac{1}{\sqrt{2}} [(\sigma_1^\dagger)^2 \sigma_2^\dagger \pm \sigma_4^\dagger] |0\rangle$$

- such linear combinations exist at all levels; at each level there is one linear combination which is identical to an appropriate mode of the fermion density!

$$\frac{1}{\sqrt{l}} \sum_n \psi_{n+2l}^\dagger \psi_n |F_0\rangle \equiv \rho_l^\dagger |0\rangle = \frac{1}{\sqrt{l}} \sum_k^{[2l]} (\sigma_1^\dagger)^{2l-k} \sigma_k^\dagger |0\rangle$$

Free fermions on a circle

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Free fermions on a circle

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- the coefficient:

$$c_m^l = \sqrt{lm(l-m)}$$

Free fermions on a circle

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Free fermions on a circle

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Free fermions on a circle

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- last term cannot be ignored at high energies; it is in fact a $\nu = -1$ state!

Free fermions on a circle

$$\tilde{\rho}_m^\dagger \tilde{\rho}_{(\frac{N+3}{2}-m)}^\dagger |0\rangle = \rho_m^\dagger \rho_{(\frac{N+3}{2}-m)}^\dagger |0\rangle + \frac{1}{\sqrt{m(\frac{N+3}{2}-m)}} \sigma_1^\dagger \sigma_{N-1}^\dagger |0\rangle$$

● last term will contribute in

$$\langle 0 | \tilde{\rho}_{+\frac{N+3}{2}}^\dagger \tilde{\rho}_{+m}^\dagger \tilde{\rho}_{+(\frac{N+3}{2}-m)}^\dagger |0\rangle$$

Free fermions on a circle

- Exact partition function for finite N (H_0 part only):

$$Z_N = \sum_{\nu = -\frac{N-1}{2}}^{+\frac{N+1}{2}} q^{\nu^2} \prod_{n=1}^{\frac{N+1}{2} - \nu} (1 - q^n)^{-1} \prod_{n=1}^{\frac{N-1}{2} + \nu} (1 - q^n)^{-1}$$

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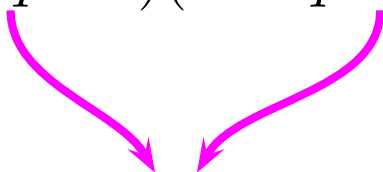
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 $\mathcal{O}(e^{-N})$

- nonperturbative effects in 2-d YM
- black-hole counting and baby universes

Free fermions on a circle

Summary:

- Tomonaga's problem has an exact solution in terms of our bosons. Low-energy local cubic collective field theory can be derived; the collective field is a linear combination of multi-particle states of our bosons, **like the graviton in the LLM case**
- our bosonization goes beyond this low-energy local limit, but then there is no natural local space-time field theory interpretation
- density-density interactions, as in a system of electrons with Coulomb interactions, can be incorporated - easy at low-energies, but requires more work at high energies

SUMMARY

- We have developed a **simple and exact** bosonization of a finite number of non-relativistic fermions; we discussed here applications to concrete problems in different areas of physics
- Our bosonization trades finiteness of the number of fermions for **finite dimensionality** of the single-particle boson Hilbert space
- the bosonized theory is inherently grainy; in the specific applications we discussed, a local space-time field theory **emerges** only in the large- N and low-energy limit
- Bosonization of finite number of fermions in **higher dimensions?**